

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: **Lewis B. Aronson, et al.**Application No./Patent No./Control No.: **10/629,228** Filed/Issue Date: **07/28/2003**Invented: **TRANSCIEVER MODULE AND INTEGRATED CIRCUIT WITH DUAL EYE OPENERS AND
EQUALIZER****FINISAR CORPORATION**, a **corporation**

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at _____, Frame _____, or a true copy of the original is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
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Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

ERIC L. MASCHOFF

Printed or Typed Name

Attorney for Applicant

Title

July 26, 2006

Date

(801) 533-9800

Telephone number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

WHEN RECORDED RETURN TO:

Workman, Nydegger & Seeley
1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111

PATENT APPLICATION
Docket No: 15436.247.2.1.1
Express Mail Label No. EV 322100131 US

ASSIGNMENT

We,

- (1) Name: Lewis B. Aronson
Address: 794 Manor Way
Los Altos, California 94042 USA
Citizenship: United States
- (2) Name: Rudy Hofmeister
Address: 544 East Evelyn Avenue
Sunnyvale, California 94086 USA
Citizenship: United States
- (3) Name: Christopher Madden
Address: 4440 El Camino Real
Los Altos, CA 94022
Citizenship: United States
- (4) Name: Mark Farley
Address: 15 Old Coach Road
Napa, California 95448 USA
Citizenship: United States
- (5) Name: Dan Case
Address: 1407 Casablanca Circle
Gilroy, California 95020 USA
Citizenship: United States
- (6) Name: Tom Lenosky
Address: 2000 Rock Street #6
Mountain View, California 94043
Citizenship: United States

respectively, have invented a method and apparatus entitled TRANSCEIVER MODULE AND INTEGRATED CIRUCIT WITH DUAL EYE OPENERS hereinafter called the "invention."

Preferred embodiments of said invention are disclosed in a United States patent application executed concurrently herewith by us and now identified as File No. 15436.247.2.1.1 of the law firm of Workman, Nydegger & Seeley, 1000 Eagle Gate Tower, 60 East South Temple, Salt Lake City, Utah 84111, and filed in the United States Patent and Trademark Office as Serial No. 10/420,027 on April 17, 2003.

The Assignee, Finisar Corporation, a corporation of the State of Delaware, having a principal place of business at 1308 Moffett Park Drive, Sunnyvale, California 95089, desires to secure the entire right, title and interest in said invention.

In consideration of One Dollar (\$1.00) and other good and valuable consideration paid to us by the Assignee, the receipt and sufficiency of which we hereby acknowledge, WE HEREBY ASSIGN TO THE ASSIGNEE:

The entire right, title and interest in said invention in the above-identified United States patent application and in all divisions, continuations and continuations-in-part of said application, or reissues or extensions of Letters Patent or Patents granted thereon, and in all corresponding applications filed in countries foreign to the United States, and in all patents issuing thereon in the United States and foreign countries.

The right to file foreign patent applications on said invention in its own name, wherever such right may be legally exercised, including the right to claim the benefits of the International Convention for such applications.

We hereby authorize and request the United States Commissioner of Patents and Trademarks, and such Patent Office officials in foreign countries as are duly authorized by their patent laws to issue patents, to issue any and all patents on said invention to the Assignee as the owner of the entire interest, for the sole use and behoof of the said Assignee, its successors, assigns and legal representatives.

We hereby agree, without further consideration and without expense to us, to sign all lawful papers and to perform all other lawful acts which the Assignee may request of us to make

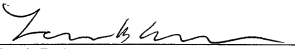
this Assignment fully effective, including, by way of example but not of limitation, the following:

Prompt execution of all original, divisional, substitute, reissue, and other United States and foreign patent applications on said invention, and all lawful documents requested by the Assignee to further the prosecution of any of such patent applications.

Cooperation to the best of our ability in the execution of all lawful documents, the production of evidence, nullification, reissue, extension, or infringement proceedings involving said invention.


This assignment and agreement shall be binding upon our heirs and legal representatives.

DATED this 7 day of August, 2003.



Lewis B. Aronson

DATED this 21 day of July, 2003.



Rudy Hofmeister

DATED this 8 day of September, 2003.



Christopher Madden

Mailing Address:

4440 El Camino Real
Los Altos, CA 94022

DATED this 7 day of August, 2003.



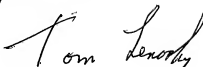
Mark Farley

DATED this 7 day of August, 2003.

A handwritten signature in cursive script, appearing to read "Dan Case", written over a horizontal line.

Dan Case

DATED this 7 day of August, 2003.



Tom Lenosky

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Lewis B. Aronson, et al.Application No./Patent No./Control No.: 10/420,027Filed/Issue Date: 04/17/2003Entitled: **TRANSCIVER MODULE AND INTEGRATED CIRCUIT WITH DUAL EYE OPENERS**FINISAR CORPORATION, a corporation

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OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

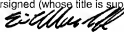
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The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
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ERIC L. MASCHOFF

Printed or Typed Name

Attorney for Applicant

Title

July 26, 2006

Date

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Telephone number

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